

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application of** :  
:  
**Jonathan E. Ricketts, et al.** :  
:  
**Serial No.:** :  
:  
**Filed:** :  
:  
**For: CROSS FLOW FAN** :

**Assistant Commissioner of Patents**  
**Washington, D.C. 20231**

**STATEMENT PURSUANT TO 37 CFR 1.97 AND 1.98**

The following information is submitted in accordance with 37 CFR 1.97 and 1.98 and MPEP § 609. Enclosed are copies of the references listed on the attached Form PTO-1449 for consideration by the U.S. Patent and Trademark Office in the above-identified application and to be made of record therein.

Applicants submit herewith patents, publications, or other information of which they may be aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be considered as an admission against interest in any manner. Notice of January 19, 1992, 1135 O.G. 13-25, at 25.

The Information Disclosure Statement submitted herewith is being filed simultaneously with the above-identified patent application.

Respectfully submitted,

HAVERSTOCK, GARRETT & ROBERTS LLP



July 18, 2003

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FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket No. 14832		Serial No.:	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT-</b> <b>LIST OF ITEMS</b> (Use several sheets if necessary)				Applicant Jonathan E. Ricketts, et al.			
				Filing Date		Group	

  

U.S. PATENT DOCUMENTS													
*Examiner Initial	Document Number								Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4	5	3	8	9	6	3	9/3/85	Sugio et al.	416	203	
	AB	4	9	0	6	2	1	9	3/6/90	Matousek et al.	460	98	
	AC	5	0	0	0	6	6	0	3/19/91	Van Houten et al.	416	203	
	AD	5	2	6	6	0	0	7	11/30/93	Bushnell et al.	416	178	
	AE	5	5	9	9	1	6	2	2/4/97	Ricketts et al.	415	53.1	
	AF	5	6	1	1	6	6	7	3/18/97	Nagamori et al.	416	178	
	AG	5	8	7	0	4	2	0	2/9/99	Webb	372	58	
	AH	6	0	0	7	3	0	0	12/28/99	Sacki et al.	416	178	
	AI	6	1	4	9	3	8	1	11/21/00	Lee	415	53.1	
	AJ	6	1	5	8	9	5	4	12/12/00	Nabeshima et al.	415	43.1	
	AK	6	3	4	5	9	5	1	2/12/02	Choi	415	1	
	AL												

  

FOREIGN PATENT DOCUMENTS														
	Document Number								Date	Country	Class	Subclass	Translation Yes   No	
	AM													
	AN													
	AO													
	AP													
	AQ													

  

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)	
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EXAMINER	DATE CONSIDERED
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.